

## LETTER FROM LEADERSHIP

We couldn't be prouder of the impact the Concussion Legacy Foundation has had in only 10 years. We've changed the global conversation on concussions forever.

In 2007, no one was talking about concussions in sports. The NFL was trumpeting that it was safe to put knocked out players back into the game, so your child went back in too. CTE had never been discussed in the national press, and only two cases of CTE had ever been diagnosed in American athletes.

Today, everything has changed. We have concussions laws in all 50 states and mandatory CDC education for parents and coaches, narrated by Dr. Nowinski. Dr. Cantu's concussion return-to-play guidelines are now called the concussion protocol and followed at every level of sport.

We co-founded the world's largest CTE brain bank with Boston University and the VA. This year, we published in the JAMA that 110 of the first 111 NFL players we studied had CTE, a headline still reverberating around the globe (p.25). Now 87% of American see CTE as a serious public health issue, and we have the attention of the world.\*

But we still have so much to do. Noah Bond's story (p.18) reminds us the concussion crisis is not over, so we created Team Up Speak Up (p.8). Nick Buoniconti's story (p.30) reminds us the fight versus CTE is only beginning, so we are increasing our commitment to Research (p.23) while now offering Patient & Family Services (p.15).

Most importantly, we are positioned to truly solve the concussion and CTE crisis in the next decade by preventing most bad outcomes and developing effective treatments. Please join us on this journey.

Sincerely,

Christopher Nowinski

Co-Founder and CEO

Robert Cantu

Co-founder & Medical Director

John Corcoran

President, Board of Directors

## WHAT DO WE STAND FOR?

Concussions can derail a life. The Concussion Legacy Foundation believes we all have a responsibility to ensure athletes can reach their potential on and off the field. We are committed to protecting athletes and families through research, policy, and education and family services.

# **OUR MISSION STATEMENT**

The Concussion Legacy Foundation is dedicated to advancing the study, treatment and prevention of the effects of brain trauma in athletes and other at-risk groups.

# **OUR VISION STATEMENT**

Our vision is a world without CTE, and concussion safety without compromise.







The second annual Team Up Speak Up Day, our national concussion awareness day dedicated to mobilizing teammates to help diagnose concussions, set new records for participation!

This unique and innovative program was launched in response to studies revealing that standard concussion education, which asks players to self-diagnose their concussion and remove themself from the game, is ineffective at changing behaviors. Team Up Speak Up, built on the bystander intervention model, uses a train-the-trainer approach to teach coaches how to give an effective speech to their team reframing concussion awareness as being a good teammate. Players are told that a player with a concussion needs help, and a good teammate will speak up to the coach or athletic trainer if they suspect a concussion in a teammate.

Coaches are encouraged to give the Team Up Speak Up Speech to their team along with the team captain at the beginning of each season to reset social norms and change the culture. By encouraging teams to share video of their speech on social media using #TeamUpSpeakUp, we amplify the reach of Team Up Speak Up's positive message, especially amonig youth athletes. To participate next year, sign up at TeamUpSpeakUp.org.



# TEAM UP SPEAK UP

# Participation from 200+ ORGANIZATIONS Representing over 4.5 MILLION ATHLETES

























# A SPEECH AS EASY AS 1-2-3

- 1 We're a team and we look out for each other.
- A teammate with a concussion needs your help.
- I expect you to SPEAK UP to a coach or athletic trainer if you suspect a teammate has a concussion.







# **ADVISORY ROLES**

Concussion Legacy Foundation co-founders Dr. Robert Cantu and Chris Nowinski, Ph.D. are proud to serve as volunteer advisors to more professional and collegiate sports organizations than any concussion-focused nonprofit in the world. By working with professional and collegiate sports to educate stakeholders and set policy, CLF is able to set the example for high school and youth sports to follow.

















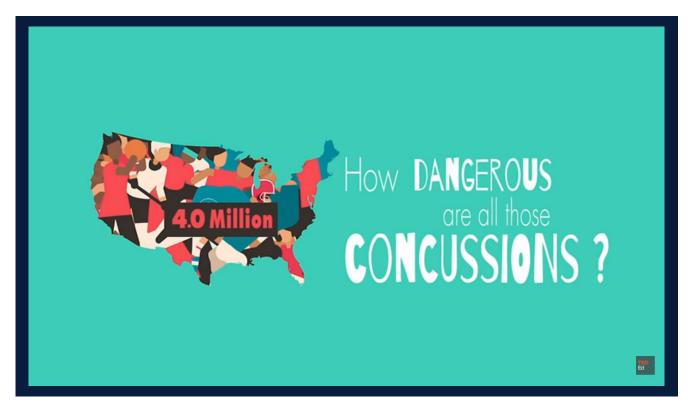


# OCTOBER 13, 2017: A HISTORIC DAY

Upon learning he played both youth and high school football, Chris Nowinski, Ph.D. asked Rhode Island Congressman David Cicilline if he would pledge to donate his brain to the Concussion Legacy Foundation to support CTE research. Without hesitation, Representative Cicilline agreed to pledge his brain and became the first member of Congress to make the #MyLegacyPledge.

# **TEDE**d

The Concussion Legacy Foundation wrote and collaborated on a video that teaches about concussion, Post-Concussion Syndrome, and CTE with the fantastic team at TED Ed. The video was viewed more than 500,000 times by the end of 2017.





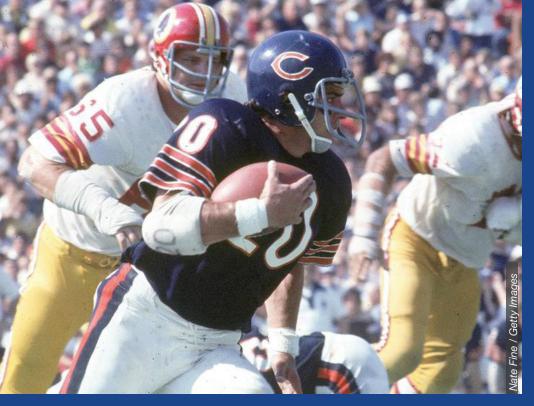
CLF co-founder and CEO Chris Nowinski, Ph.D. gave his TEDX presentation in Boston, MA. The talk was selected by the TED editorial team to be featured on TED.com. By the end of 2017, it had been viewed more than 600,000 times.





# PATIENT & FAMILY SERVICES Learn about Mike Adamle's public battle with CTE on the next page.





In February, Mike Adamle and his wife Kim disclosed on NBC Chicago that Mike had been diagnosed with dementia. Adamle revealed that doctors had told him his dementia is likely caused by Chronic Traumatic Encephalopathy (CTE).

Mike is a former Chicago Bear, Norwestern University All-American, and distinguished sportscaster. He has covered the NFL, USFL, and Summer Olympics, in addition to announcing the WWE, American Gladiators and many other sports and entertainment events.

Mike's courageous decision to publicly disclose his battle with dementia and inspiring message resonated with the Concussion Legacy Foundation. We're proud to partner with Mike and Kim on a new joint initiative, The Mike Adamle Project: Rise Above. The mission of Project Rise is to provide patients and families living with symptoms of CTE with tools, resources, a supportive community, and most importantly, with hope.

"

If it is CTE, I'd like to be the poster person for the first guy they've found diagnosed with CTE and lived to tell about it. There's a lot of me's out there. People don't know it and they should.

"

- MIKE ADAMLE on the decision to go public with his dementia diagnosis



A program within







Noah Bond can tell you the brain injury that changed his life happened at an ordinary late-summer football practice in his small eastern Georgia town. He can tell you the hit happened during a routine drill, but he doesn't remember anything else. Ask him about the first few hours following his concussion, and he defers to his parents, Lisa and Wes. Lisa rushed to the football field when she heard Noah had received a mild concussion. Then she witnessed her fourteen-year-old son spill out of his football coach's truck

"like Jell-O" as his teammates struggled to steady him. Noah couldn't stay balanced long enough to walk on his own. This wasn't a mild injury, and those steps toward his mother's car were the first in a journey that would last more than a year and cover thousands of miles in search of the care he needed.

At the hospital, Noah couldn't remember if he had siblings, or pets, or even his own parents' names. Before then, the Bonds didn't know much about concussions. But they knew something was very wrong.

Noah was diagnosed with retrograde amnesia caused by a concussion. The Bonds were told all they could do was wait for Noah to get better. But after three days of monitoring, he wasn't getting better; he couldn't even recognize life-long friends who came to visit.

When Noah was given a formal cognitive abilities test, the Bonds learned the magnitude of his impairment. Noah was told to draw a clock face; he drew the numbers in backwards. He was shown pictures of common animals; he could only name half of them. Noah was given a minute to say as many words as he could think of starting with the letter "f"; he struggled to say more than four. The doctor acknowledged it was worse than they originally thought, but still all the Bonds could do was wait.

Noah was released from the hospital after two weeks, when he could finally stay balanced long enough to walk on his own. Wes and Lisa brought him to physical and occupational therapists, yet only some of his cognitive deficits improved. When the time came for Noah to return to school it was too much for him to handle. The amnesia gradually dissipated, but he still had constant headaches, mood swings, and trouble concentrating. His teachers and friends had a difficult time understanding what was going on, and some of his doctors even suggested he was exaggerating his symptoms. Noah woke up in pain, went to sleep in pain, and struggled through days filled with confusion and isolation in between.

"At one point, I went in my closet, sat down, turned the light off, and I would not come out. Nobody could get me out. I don't even remember what I was upset about... I just wanted to get away. I wanted it to end. And many thoughts ran through my head that normal people wouldn't ever even think about. And looking back on it, I know clearly there was something wrong. Normal people don't think about their lives and think that it should end right there."

After months of failed therapies and constant pain, the Bonds had exhausted all the treatment options nearby. But Noah still needed help. So in between work, taking care of their family, and managing Noah's symptoms, the determined parents did all they could do – they kept searching. Late at night, after Noah had gone to sleep, Lisa researched therapies, doctors, and athletes who had survived concussions. One



Cear Mr. Chris Ylowinski,

of have no idea if this letter will ackedly get to yo loss get to you - I have no idea if you would be willing un family - - - or more operatically my son. I he bring a mature never to try to find some thelp - - or our situation. I do not work in the medical field. Duringly thank. You are my last witch export, I pray uncho you is all pray it is well received.

My son was tackled at jootball practice on Just? is wasn't "right yet tackle instead by players; each estally said he "was time" but clearly he wasn't. (cl. soforg shorter; leaving out a let, but climitry go in the Main points of this day and key points following the Min Il pulled up to pick up my son (14 years old) the chat they thought he had a mild concession if there is him to be seen by a medical proposition. When the truck door the only way cl can describe this to you.

To book this lack of body control) was like melted yells. I the parement. The coach struggled to catch him is and over to hulp put him in my car. My son was come we to hulp put him in my car. My son was come what twice in that one tackle. When we got personned three getting my son in an ER room told to seed to be transferred to a larger city where the

The hand-written letter Lisa Bond sent Chris Nowinski seeking help from the Concussion Legacy Foundation.

und found out our son was NOT on drugs, The Abilt our son had been hunt at football





name kept showing up: Chris Nowinski, co-founder of the Concussion Legacy Foundation. The Bonds' trust in medical experts had eroded after months of misdiagnoses and deadend treatments. But Chris was different. He'd suffered through severe concussion symptoms and recovered. So, desperate for a glimmer of hope, Lisa handwrote a letter to Chris asking for help.

Within weeks, Noah was at his first appointment with Dr.

Robert Cantu, the same doctor who helped Chris heal from his concussions and Chris' co-founder of the Concussion Legacy Foundation. As the Bonds recounted their months of misdiagnoses, failed therapies, and struggles to cope with everyday life, Dr. Cantu listened intently. "Noah, you're going to get better," he said, and the Bonds knew they were in the right place. Dr. Cantu told the Bonds that Noah's long struggle with symptoms was the result of Post-Concussion Syndrome (PCS) and that Noah needed a targeted approach aimed at treating his individual symptoms. PCS can take months and sometimes years to heal, and Noah had his work cut out for him, but finally there was a light at the end of a long, dark tunnel.

Dr. Cantu worked with the Bonds to develop targeted therapies for Noah's symptoms, and the Bonds traveled over 2,000 miles per week so he could complete them at the Cantu Concussion Center. Noah underwent cognitive therapy to improve his memory, vestibular therapy for his balance, and ocular therapy for his vision and headaches. After months of suffering through uncertainty and isolation, Noah finally felt a dramatic reduction in symptoms. The Bonds found the answers they were searching for.

Today, Noah has found a new normal. He's traded in his contact sports for golf, and has found a new passion: raising awareness about concussions and

Post-Concussion Syndrome. He accepted the Concussion Legacy Foundation's Resilience Award at the 2017 Concussion Legacy Gala, a testament to his strength in the face of a great challenge.

"If you push through, things will get better. Don't ever feel like you're alone. There's somebody out there who understands completely what you're going through."

Noah's story is one of endurance through extraordinary hardship, made possible by the love and determination of his family. Yet there are many thousands more like Noah who need help but aren't sure where to turn. Noah hopes by sharing his story someone else suffering from PCS will find the strength and determination to persevere:

"If you push through, things will get better. Don't ever feel like you're alone. There's somebody out there who understands completely what you're going through. You'll be able to find help, everything will be ok. In some ways it just takes time." ?





Dr. Cantu looked Noah straight in the face, leaned in close and he said, "Noah, you're going to get better. And that meant the world."

"I get the sense that not only is he trying to fix Noah, and help us as parents, but it's almost like he's trying to fix this big wrong that exists in this country today."







70%

of confirmed cases worldwide since CTE was first identified in 1928 have been diafnosed here

# ALS

In 2009, Dr. McKee discovered a link between CTE and ALS symptoms.

# VA-BU-CLF BRAIN BANK

1ST
MILITARY CASE SERIES
PUBLISHED IN 2012

# **1ST CASES**

- Ice Hockey (2009)
- College Football (2009)
- High School Football (2010)
- Mized-Martial Arts (2012)
- Baseball (2013)
- Soccer (2014)

# **LARGEST CTE**

TISSUE REPOSITORY

IN THE WORLD

# **DISCOVERED**

- TDP-43
- Beta Amyloid
- Microglia and Inflammation
- Prion Spread
- CCL11
   ROLESINCTEDEVELOPMENT
   & PROGRESSION

Over 60 publications

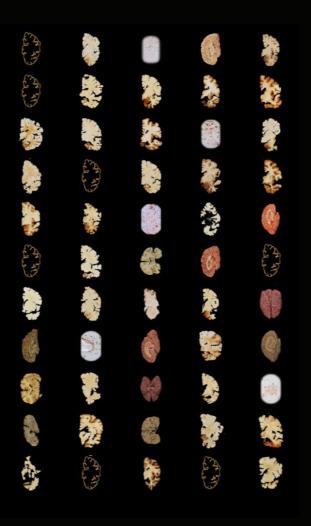
Over 60 original publications and CTE review articles have been written with data from the VA-BU-CLF Brain Bank.

To read them and learn more, visit concussionfoundation.org/about/scientific-publications









110
N.F.L. Brains
The New York Times



"

"

It is no longer debatable whether or not there is a problem in football.

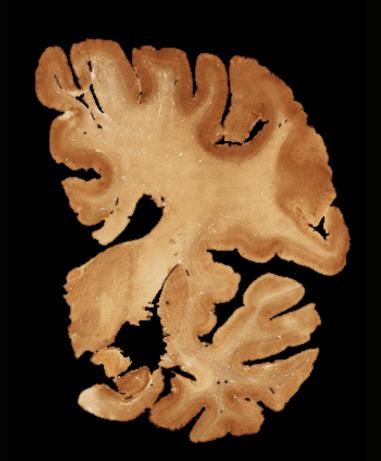
There is a problem.

- Dr. Ann McKee Director, VA-BU-CLF Brain Bank Researchers at the VA-BU-CLF Brain Bank, led by Director Dr. Ann McKee, examined the brains of 202 deceased football players. In July, their findings were published in the Journal of the American Medical Association. Of the 202 players studied, 111 of them played in the NFL. 110 of the 111 former NFL players studied were found to have suffered from chronic traumatic encephalopathy (CTE), the chronic brain disease caused by years of repetitive brain trauma.

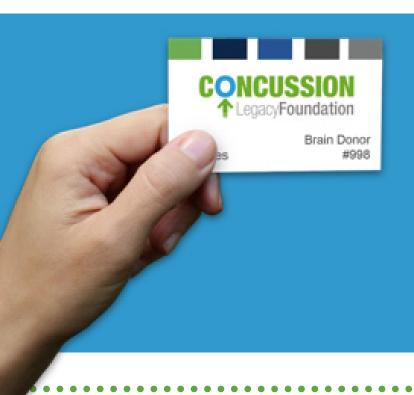
Thanks to hundreds of Legacy Brain Donor family members, CLF worked with the New York Times graphics team for two years to publish an unprecedented display of nearly all 111 brains.



The image above is from the brain of Ronnie Caveness, a linebacker for the Houston Oilers and Kansas City Chiefs. In college, he helped the Arkansas Razorbacks go undefeated in 1964. One of his teammates was Jerry Jones, now the owner of the Dallas Cowboys. Jones has rejected the belief that there is a link between football and C.T.E.



The image above is from the brain of Ollie Matson, who played 14 seasons in the N.F.L. — after winning two medals on the track at the 1952 Helsinki Games. He died in 2011 at age 80 after being mostly bedridden with dementia, his nephew told The Associated Press, adding that Matson hadn't spoken in four years.



# **MY LEGACY**

#### #MyLegacyPledge

We launched the My Legacy campaign in 2015 to recognize individuals who have made a lasting contribution to research and awareness of concussions and CTE, and to encourage others to pitch in to create their own legacy.

The signature gesture of My Legacy is the #MyLegacyPledge to donate your brain to the Concussion Legacy Foundation and VA-BU-CLF Brain Bank. Since we took over the pledge database from Boston University and moved it online, pledges have gone from 100 a year to over 1,000 in 2017.

Each pledge ensures our researchers can continue their work and raises awareness of the critical role brain donation plays in concussion and CTE research. Over 2,800 athletes, veterans, and controls have made the pledge.



# LEONARD MARSHALL

#### Former NFL Defensive End

"This is literally a life-and-death matter, and it's time we start having real, honest conversations about brain trauma in professional and youth sports."

#### MATT HASSELBECK Former NFL Quarterback ESPN Broadcaster

"I want to be part of the solution, and by pledging my brain I am doing my part to provide the data to protect the next generation of athletes."





## AJ MLECZKO GRISWOLD

Olympic Ice Hockey Legend NBC Broadcaster

"I want to do anything I can in my years after playing to help future generations, whether it be my kids, my friends' kids, or my kids' friends. That's My Legacy."

# BEN LOVEJOY New Jersey Devils Defenseman

"I have pledged to donate my brain to give back to the sport that has given me so much, with the hope that it can continue to get safer."



200

new brain pledges, an all-time record for pledges in a month

BRAIN PLEDGE MONTH February 2017



## MY LEGACY: NICK BUONICONTI

Nick Buoniconti is Pro Football Hall of Fame linebacker who played for the Boston Patriots and led the historic Miami Dolphins teams of the 1970, including the undefeated 1972 team.

Over his life, Nick has given so much to so many, whether it was the joy of watching him during his Pro Football Hall of Fame career or crusading for his fellow players, or watching him clown around on HBO's Inside the NFL, or playing golf with him, or the \$450 million he has raised for spinal cord injury research, or simply being Undefeated Nick!

However, Nick was diagnosed with dementia, and over the past four years, has developed serious neuromotor issues. These medical issues are believed to be a result of the many years Nick passionately played football. With the support of his wife Lynn, Nick continues to fight valiantly everyday.

For his 77<sup>th</sup> birthday, Nick and Lynn launched the Nick Buoniconti CTE Research Fund. They are committed to fighting CTE for the thousands of others who will benefit from effective treatments and, eventually, a cure.

"

I owe it to the thousands of others who will follow me on this trek. My life is not what it was, and I just want to be able to help with [Dr. Ann McKee's] research and hopefully the research will end up helping so many other players.

- Nick Buoniconti on the decision to pledge his brain to research at the VA-BU-CLF Brain Bank





The Concussion Legacy Foundation is proud to continue to be the sole underwriter of the L.E.G.E.N.D. Study led by Dr. Robert Stern, director of clinical research at the BU CTE Center. L.E.G.E.N.D. is a longitudinal study that seeks to undersatnd the risk factors for CTE in the living.

corresponded with >2 x increased odds for clinically impaired scores on all measures but BTACT: (odds ratio (OR), 95% confidence odds for clinical impairment on the AES (OR, 95% CI: 0.86, 0.76-0.97) and CES-D (OR, 95% CI: 0.85, 0.74-0.97). There was no interaction between AFE and highest level of play. Younger AFE to football, before age 12 in particular, was associated with

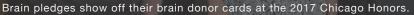
interval (CI): BRI, 2.16,1.19-3.91; MI, 2.10,1.17-3.76; CES-D, 3.08,1.65-5.76; AES, 2.39,1.32-4.32). Younger AFE predicted increased increased odds for impairment in self-reported neuropsychiatric and executive function in 214 former American football players Longitudinal studies will inform youth football policy and safety decisions.

Translational Psychiatry (2017) 7, e1236; doi:10.1038/tp.2017.197; published online 19 September 2017

In 2017, L.E.G.E.N.D. researchers published critical research that showed a link between youth tackle football participation and earlier onset of cognitive and emotional symptoms. The study found that football palyers who began playing before age 12 were twice as likely to have problems with behavioral regulation (e.g., impulse control, controlling emotional responses) in adulthood, and three times as likely to have problems with depression.

# **COMMUNITY EVENTS**







CLF's Brandon Boyd helps demonstrate concussion symptoms using "concussion goggles" during Major League Lacrosse (MLL) Concussion Awareness Week, a co-branded partnership between CLF and MLL.



CLF co-founders Dr. Robert Cantu (L) and Chris Nowinski, Ph.D. with Resiliency Award honorees Esther Lovett (Center, L) and Noah Bond (R).



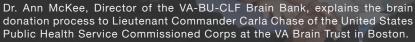
CLF Director of Family Relations Lisa McHale addresses a packed house at the 2017 Chicago Honors.





CLF co-founder and CEO Chris Nowinski, Ph.D. (L) and CLF Board of Directors member Paul "Triple H" Levesque (R) with Impact Award honoree Bob Costas at the 10th Annual Concussion Legacy Gala







(L-R) Malcolm Gladwell, Pro Football Hall of Famer Warren Sapp, Dr. Ann McKee, and Chris Nowinski, Ph.D. discuss the future of youth tackle football at Unnecessary Roughness, an event hosted by The Motley Fool, and moderated by Tom Gardner (not pictured) CEO of The Motley Fool.

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# **COMMUNITY EVENTS**



CLF co-founder and Medical Director Dr. Robert Cantu speaking at the VA Brain Trust in Boston.



Former NFL player and former CLF Board of Directors member Isaiah Kacyvenski poses with his brain donor card. Kacyvenski was one of the first professional athletes to pledge their brain to the VA-BU-CLF Brain Bank when it was founded in 2008.



New York Giants two-time Super Bowl Champion Leonard Marshall pledged to donate his brain to CLF at the 2017 VA Brain Trust event in Boston while Chris Nowinski, Ph.D., Dr. Ann McKee, and US Secretary of Veterans Affairs David A. Shulkin look on.



Super Bowl Champion & former Chicago Bear Otis Wilson shares a laugh with CLF co-founder & CEO Chr



Chris Nowinski, Ph.D. with Colonel Sidney R. Hinds, M.D., Department of Defense Brain Health Research Program Coordinator, at the 2017 VA Brain Trust in Boston.



Cole Lyle, shown with his service dog Kaya, pledged to donate his brain at the VA Brain Trust. Lyle, a marine combat veteran, works to provide veterans easier access to service dogs.



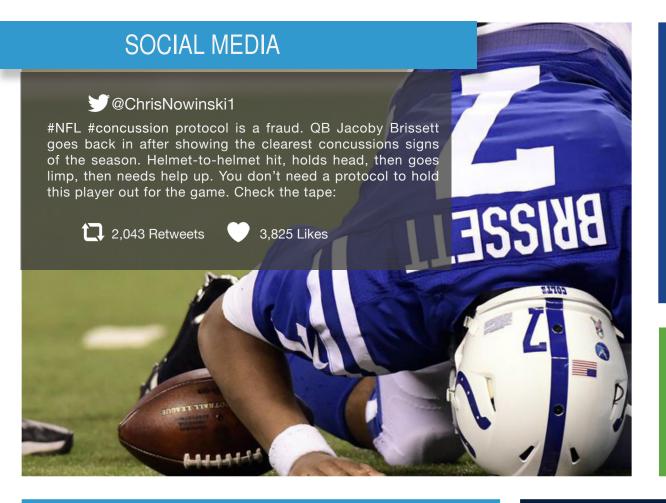
is Nowinski, Ph.D.



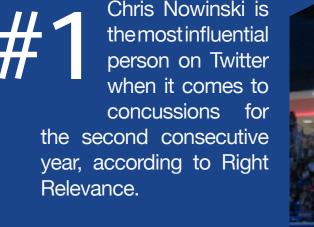
Former Chicago Bear Gary Fencik presents his friend and former teammate Mike Adamle with the Courage Award at the 2017 Chicago Honors. Read more about Adamle's public battle with dementia and likely CTE on page XX.



The CLF Staff taught youth athletes about concussion signs and symptoms using interactive games. The event was part of Major League Lacrosse (MLL) Concussion Awareness Week, a partnership between MLL and CLF.



Chris Nowinski is Relevance.



# **Sparking Conversations**



21.3M Twitter Impressions keep the concussion conversation in the news.

The Concussion Legacy Foundation is the third most influential organization Twitter when it comes to concussions for the second consecutive year, according to Right Relevance.

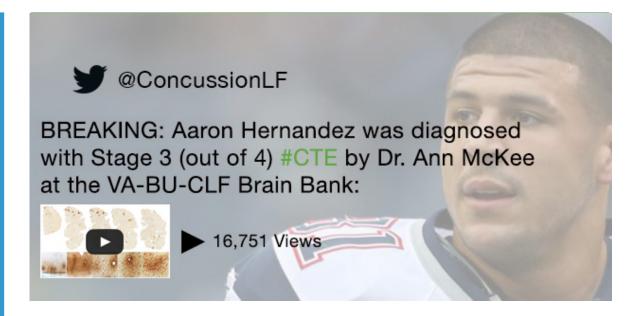


# Join The Conversation

#TeamUpSpeakUp
#FlagFootballu14
#MyLegacyPledge
#BrainPledgeMonth



Chris Nowinski's tweet led to a larger media conversation about gaps in the NFL's concussion protocol. In December 2017, the NFL made an unprecedented midseason change to the protocol, specifically mentioning "impact seizure" as grounds for automatic removal.









CHRIS NOWINSKI, PH.D.

Co-Founder & CEO

ROBERT CANTU, M.D.

Co-Founder & Medical Director

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Citizens for John Cullerton

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STATI	<b>EMENT</b>	OF AC	CTIVI	TIFS
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# **FINANCIALS**

Contributions	\$1,758,468
Program Services Revenue	\$43,125
Investment Income	\$0
Other Revenue	\$37,144

Total Revenue \$1,838,737

# **EXPENSES**

Research Grants	\$128,221
Program Service Expenses	\$973,879
Management and General	\$188,241
Fundraising	\$227,858

Total Expenses \$1,518,200

# STATEMENT OF FINANCIAL POSITION

Cash, Cash Equivalents and Investments	\$1,013,483
Property and Equipment	\$20,205
Other Assets	\$7,000
Pledges and Accounts Receivable	\$666,257

Total Assets \$1,706,945

